## Complete if Known Substitute for Form 1449/PTO Application Number 09/932,579 INFORMATION DISCLOSURE Filing Date August 17, 2001 STATEMENT BY APPLICANT First Named Inventor: Raymond C. Chen (use as many sheets as necessary) Art Unit 2162 APR-3 0 2007 **Examiner Name** Corrielus, Jean M. 5693P333X **Sheet** 1 of Attorney Docket Number U.S. PATENT DOCUMENTS Pages, Columns, Lines, Cite No. **Publication Date** Name of Patentee or Examiner **Document Number** MM-DD-YYYY Applicant of Cited Document Where Relevant Initials\* Passages or Relevant Number-Kind Code<sup>2</sup> (If known) Figures Appear 7JC7 12-19-1989 Barnes et al. US-4,888,681 1. US-4,937,763 06-26-1990 Mott 2. McCown et al. 3. US-5,067,099 11-19-1991 4. US-5,088,031 02-11-1992 Takasaki et al. 5. HS. 5,155,835 10-13-1992 Belsan US-5,193,184 03-09-1993 Belsan et al. 6. US-5,535,375 07-09-1996 Eshel et al. 7. US-06-10-1997 Dunphy et al. 8. 5,638,509 04-27-1999 Lasser et al. 9. us-5,897,638 6,032,227 02-29-2000 Shaheen et al. 10. us. 08-15-2000 Douceur et al. 11. US-6.105.038 04-02-2002 Skiba et al. 12 US-6,366,988

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## Complete if Known Substitute for Form 1449/PTO 09/932,579 **Application Number** INFORMATION DISCLOSURE Filing Date August 17, 2001 STATEMENT BY APPLICANT First Named Inventor: Raymond C. Chen (use as many sheets as necessary) **Art Unit** 2162 **Examiner Name** Corrielus, Jean M. 5693P333X of **Attorney Docket Number** 3 Sheet NON PATENT LITERATURE DOCUMENTS T<sup>2</sup> Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the Examiner Cite item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue No<sup>1</sup> Initials\* number(s), publisher, city and/or country where published Bozas, International Search Report of PCT/US01/2590, European Patent Office, 10 July 19. /JC/ Kespret, PKZIP LHARC & Co: Using Data Compression Utilities," 1995, Abacus. 20. /JC/ Koers, "Microsoft Windows XP fast & easy," 2002, Premier Press, pp. 130-134 21. Levy et al., "Distributed File Systems: Concepts and Examples," 1990 December, ACM 22. Computing Surveys, Vol. 22, No. 4. Netlingo.com, Definition of "Zombie". retrieved Nov. 2006 23. Silberschatz et al., "Operating System Concepts," 2002, John Wiley & Sons, Sixth Ed. 24. Symantic, "The Norton Desktop: The Essential Set of windows Utilities & Productivity 25. Tools," 1993, Version 3 for Windows Xreferplus.com, Definition of "zombie", retrieved November 2006 26. CHIA CHAO et al., "Mime: A High Performance Storage Device With Strong Recovery 27. Guarantees", Concurrent Systems Project, HP Laboratories, March 18, 1992. DAVID HITZ, "Technical Report TRO1: An NFS File Server Appliance". Rev. A 8/93. 28. Network Appliance Corporation, Santa Clara, CA DAVID SIMPSON, "'Appliances' Take Over File Server Role", Digital News and Review, 29. Vol. 11, No. 6, Pages 1-2, March 21, 1994. JOHN OUSTERHOUT & FRED DOUBLIS, "Beating the I/O Bottleneck: A Case for Log-30 Structured File Systems". Electrical Engineering and Computer Sciences, UC Berkeley, CA, October, 1998. KLEIMAN ET AL.; Using NUMA Interconnects For Highly Available Filers; IEEE Micro. 31. 1999; Pages 42-48. XP002164052.

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